

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tsuyoshi KOMAKI, et al.

SERIAL NO: NEW APPLICATION

GAU: UNASSIGNED

FILED: HEREWITH

EXAMINER: UNASSIGNED

FOR: OPTICAL INFORMATION MEDIUM, MAKING METHOD, RECORDING/READING METHOD, AND INSPECTING METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are available in prior application serial number 09/887,434, filed June 25, 1002, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

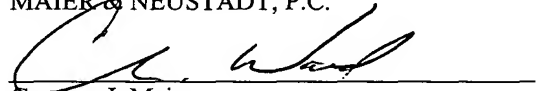
☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Gregory J. Maier
Attorney of Record
Registration No. 25,599
Christopher D. Ward
Registration No. 41,367

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241093US2DIV		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Tsuyoshi KOMAKI, et al.			
				FILING DATE HEREWITH		GROUP UNASSIGNED	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,310,919	1/1982	Slaten			
	AB	5,011,879	4/1991	Uesaka, et al.			
	AC	5,530,086	06/1996	Fuji, et al.			
	AD	5,838,646	11/1998	Watanabe, et al.			
	AE	5,914,915	6/1999	Watanabe, et al.			
	AF	6,353,592	3/2002	Kashiwagi, et al.			
	AG	6,376,571	4/2002	Chawla, et al.			
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AQ	11-192648	07/21/99	Japan (with English Abstract)			X
	AP	0 509 671	10/21/92	Europe			
	AQ	0 976 777	02/02/2000	Europe			
	AR	2 061 594	05/13/81	Great Britain			
	AS	0 182 585	05/28/86	Europe			
	AT	0 626 683	11/30/94	Europe			
	AU	10-302310	11/13/98	Japan (with English Abstract)			X
	AV	04-254927	09/10/92	Japan (with English Abstract)			X
	AW	08-194968	07/30/96	Japan (with English Abstract)			X
	AX	10-289489	10/27/98	Japan (with English Abstract)			X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							